PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO

Sheet 11

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/766,786				
Filing Date	January 27, 2004				
First Named Inventor	Guterman				
Art Unit	2827				
Examiner Name	M. TRAN				
Attorney Docket Number	SAND-01015US0				

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
our	1	US- 5,220,531	06/15/1993	Blyth et al.	
ŀ		US-5,313,421	05/17/1994	Guterman et al.	
		US- 5,412,601	05/02/1995	Sawada et al.	
		<sup>US-</sup> 5,521,865	05/28/1996	Ohuchi et al.	
		<sup>US-</sup> 5,570,315	10/29/1996	Tanaka et al.	
		<sup>US-</sup> 5,652,719	07/29/1997	Tanaka et al.	
		<sup>US-</sup> 5,712,180	01/27/1998	Guterman et al.	
		<sup>US-</sup> 5,712,815	01/27/1998	Bill et al.	
		<sup>US-</sup> 5,761,222	06/02/1998	Baldi	
		<sup>US-</sup> 5,870,344	02/09/1999	Ozawa	
als		<sup>US-</sup> 5,949,714	09/07/1999	Hemink et al.	
1		<sup>US-</sup> 6,151,248	11/21/2000	Harari et al.	
		<sup>US-</sup> 6,222,762	04/24/2001	Guterman et al.	
		us-6,266,270	07/24/2001	Nobukata	
		<sup>US-</sup> 6,301,161	10/09/2001	Holzmann et al.	
		<sup>US-</sup> 6,317,364	11/13/2001	Guterman et al.	
		<sup>US-</sup> 6,424,566	07/23/2002	Parker	
T		<sup>US-</sup> 6,522,580	02/18/2003	Chen et al.	
WT		<sup>US-</sup> 6,525,964	02/25/2003	Tanaka et al.	

Examiner	Cite	Foreign Patent Document	IGN PATENT DOCU Publication	Name of Patentee or	Pages, Columns, Lines,	$\overline{}$
	No.	Poleigh Patent Document	Date	Applicant of Cited Document	Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Ľ
						F
						╄
						F
						T
					· · · · · · · · · · · · · · · · · · ·	

Examiner Signature	u,	TRAN	-	Date Considered	3/21/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Wind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/766,786				
Filing Date	January 27, 2004				
First Named Inventor	Guterman				
Art Unit	2827				
Examiner Name	M. TRAN				
Attorney Docket Number	SAND-01015US0				

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MT		<sup>US-</sup> 6,529,412	03/04/2003	Chen et al.	
		<sup>US-</sup> 6,532,172	03/11/2003	Harari et al.	•
1	-	<sup>US-</sup> 2002/0118574	08/29/2002	Gongwer et al.	
WCC.		<sup>US-</sup> 2003/0147278	08/07/2003	Tanaka et al.	
		US-			
		US-		•	
		US-			

FOREIGN PATENT DOCUMENTS										
Examiner Cite		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π				
		Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	7				
	·									
						L				
						Г				

Examiner Signature	М.	TRAN	Date Considered	3/	21/	05	•

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Ur	ider the Paperwork Re	duction A	ct of 1995, no persons a	e required to respond to a collection	of information unless it contains a valid OME	control number.
Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/766,786	
INF	ORMATION	N DIS	CLOSURE	Filing Date	January 27, 2004	
STA	ATEMENT I	BY A	PPLICANT	First Named Inventor	Guterman	
	Ulas es masu st		1	Art Unit	2827	
(Use as many sheets as necessary)		Examiner Name	M. TRAN			
Sheet	3	of	3	Attorney Docket Number	SAND-01015US0	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
nitials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
ar	•	KURATA, Hideaki, et al., Constant-Charge-Injection Programming for 10-MB/s Multilevel AG-AND Flash Memories, 2002 Symposium On VLSI Circuits Digest of Technical Papers, pp. 302-303	
		JOHNSON, William S., et al., SESSION XII: ROMs, PROMs and EROMs, 1980 IEEE International Solid State Circuits Conference, pp. 152-153	
	,	NOBUKATA, Hiromi, et al., A 144Mb 8-Level NAND Flash Memory with Optimized Pulse Width Programming, 1999 Symposium on VLSI Circuits Digest of Technical Papers, pp. 39-40	
U		OHKAWA, Masayoshi, et al., TP 2.3: A 98 mm2 3.3V 64Mb Flash Memory with FN-NOR Type 4-level Cell, 1996 IEEE International Solid-State Circuits Conference, pp. 36-37	

Examiner	` .	l Date	$\sim$ 1
2		1	6/02/ 6
I Signature	$M \cdot IRAN$	Considered	2120107
0.9.10.0.0	5		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.